

Request for Transfer of Securities

All fields are mandatory. Please countersign against any amendments.

1 Type of Transfer Please submit with supporting documents. Refer to Section 7 for required documents.

No Change in Beneficial Owner Joint Account Family Members (please specify relationship): _____

Transfer Pursuant to Market Activities- ADR, ETF, SBL, Collateral, Margin (please circle) On-exchange Trade-related Transfer

Issuer-related Transfer

Others (please specify reason): _____

2 Details of Transferor

Name of Transferor _____

CDP Securities Account Number _____ - _____ - _____

Contact Number + (_____) _____ (Mobile) Email Address: _____

Declaration by Depository Agent ('DA') (where DA is transferor):

We hereby confirm that the name of the beneficial owner is _____

3 List of Securities to Transfer

Name of Security (in full)	Security Code	Quantity (to be transferred)
_____	_____	_____, _____, _____, _____
_____	_____	_____, _____, _____, _____
_____	_____	_____, _____, _____, _____
_____	_____	_____, _____, _____, _____
_____	_____	_____, _____, _____, _____

4 Details of Transferee

Name of Transferee _____

CDP Securities Account Number _____ - _____ - _____

Declaration by Depository Agent ('DA') (where DA is transferee):

We hereby confirm that the name of the beneficial owner is _____

Signature of Transferee (as per CDP records)

Corporation to affix Company Stamp/Common Seal with at least 2 authorised signatories

_____/_____/_____
D D / M M / Y Y

Date

5 Declaration of Transferor (signing of this form to be witnessed by DA Authorised Signatory / CDP Officer / CDP Authorised Representative)

I/We hereby request you to transfer the above mentioned securities from my/our account to the account of the transferee mentioned in Section 4.

Signature of Transferor (as per CDP records)

Corporation to affix Company Stamp/Common Seal with at least 2 authorised signatories

_____/_____/_____
D D / M M / Y Y

Date

6 To be completed by DA Authorised Signatory / CDP Officer / CDP Authorised Representative

(for request by Individual and Joint Account Holders Only)

(CDP Authorised Representative include Notary Public, Advocates and Solicitors, Commissioner for Oath, Singapore Embassy and Justice of Peace)

I/We confirm that I/We have witnessed the signature of the above Transferor.

Signature

Name & Designation

Company

7 Supporting Documents Required

Transfer Type	Supporting Documents Required
Family Members	(i) Your identification card (IC) or passport; and/or (ii) IC or passport of the person whom you are transferring the shares to; and (iii) Certificates to establish the relationship between yourself and your transferee, such as marriage or birth certificates
Other Transfers a) No Change in Beneficial Owner or Joint Account	(i) Your identification card (IC) or passport; and/or (ii) IC or passport of the person whom you are transferring the shares to
b) Between Corporation and Director/Shareholder	(i) Company's Resolution (with reason for transfer, name and quantity of securities to be transferred); and (ii) ACRA instant printout of the company; and (iii) Completed Entity KYC Questionnaire*; and (iv) IC or passport of the Director or Shareholder
c) Between Corporation and Subsidiary Company	(i) Company's Resolution from both companies (with reason for transfer, name and quantity of securities to be transferred); and (ii) ACRA instant printout of both companies; and (iii) Completed Entity KYC Questionnaire*
d) Sales & Purchase Agreement	(i) Original or certified true copy of Sales & Purchase Agreement which includes buyer name, seller name, security name and quantity, and price/value consideration; and (ii) IC or passport of buyer/seller

*For transfers involving a corporation, the entity will need to complete the CDP Entity KYC Questionnaire ("Questionnaire"). Supporting documents are required if there is a change of key executives (including company secretary and authorised signatories) and directors. Entities can rely on the last submitted Questionnaire and use the CDP Entity Declaration Form, should the information furnished previously on the Questionnaire continue to be true and complete. The Questionnaire is valid for 6 months.

CDP reserves the rights to request for additional information (eg Customer Due Diligence Questionnaire) to be submitted, where required. All transfers are subject to review and approval by CDP upon receipt of documents.

8 Important Information

Type of Transfer	Transfer Fee Amount	Payment Instruction
No Change in Beneficial Owner Joint Account Family Members	S\$10.00 (S\$10.70 inclusive of 7% GST) per security, per transfer	You may choose to make the payment via bank transfer with the following information: - Account Name: The Central Depository (Pte) Limited - Ops A/C - Bank Account Number: 101-344-667-4 - Bank Name: United Overseas Bank Limited - Bank Code: 7375 - Branch Name: UOB Main - Branch Code: 001 - SWIFT Code: UOVBSGSG - Bank Address: 80 Raffles Place UOB Plaza 1, Singapore 048624 Please attach the screenshot of payment record together with your transfer request form for submission. Note: Please note that all bank charges are to be borne by transferor
Issuer-related Transfer Transfer Pursuant to Market Activities a) ADR ¹ c) SBL ³ e) Margin ⁵ b) ETF ² d) Collateral ⁴	S\$80.00 (S\$85.60 inclusive of 7% GST) per security, per transfer	
On-exchange Trade-related Transfer	S\$60.00 (S\$64.20 inclusive of 7% GST) per security, per transfer	
Other Transfers	0.03% of transfer value, subject to a minimum of S\$150.00 (0.0321% and S\$160.50 respectively, inclusive of 7% GST) per security, per transfer	

¹ Transfer relating to the conversion of Depository Receipts between Depository Receipt Agents and investors

² Transfer between ETF Trustees and market makers relating to the creation or redemption of exchange traded funds (ETFs)


³ Transfer involving securities borrowing and lending but not related to CDP SBL Program

⁴ Where investors pledge shares held with CDP for the purpose of collateral with another depository or institution


⁵ Where investors pledge shares held with CDP for the purpose of margining

9 What happens next?

Attach the required supporting documents above to this form, then:




Pass it to your Broker



Mail to CDP

The Central Depository (Pte) Limited
11 North Buona Vista Drive #06-07
The Metropolis Tower 2
Singapore 138589



Drop off the form and supporting documents

The Central Depository (Pte) Limited
9 North Buona Vista Drive #01-19/20
The Metropolis
Singapore 138588

*Supporting documents must be certified true copy by a CDP authorised representative**



You will be notified via post/electronic statement is available when you login to Investor Portal once your request is processed

* CDP Authorised Representatives include Notary Public, Advocates and Solicitors, Commissioner for Oath, Singapore Embassy and Justice of Peace

The Central Depository (Pte) Limited

Company Reg No. 198003912M (A wholly owned subsidiary of Singapore Exchange Limited)

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